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NOTICE OF ALLOWANCE AND FEE(S) DUE

45695 7590 06/10/2009

AT&T Legal Department - WK
Attn: Patent Docketing
Room 2A-207
One AT&T Way
Bedminster, NJ 07921

EXAMINER

ARMSTRONG, ANGELA A

ART UNIT

PAPER NUMBER

2626

DATE MAILED: 06/10/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/892,664	06/28/2001	Steven G. Smith	2009.0132US01(00353)	2057

TITLE OF INVENTION: VOICE INPUT TO SYSTEMS INTERFACE TO LEGACY SYSTEMS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	09/10/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
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or Fax **(571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

45655 7590 06/10/2009
AT&T Legal Department - WK
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Room 2A-207
One AT&T Way
Bedminster, NJ 07921

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE-FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

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nonprovisional	NO	\$1510	\$0	\$0	\$1510	09/10/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
ARMSTRONG, ANGELA A	2626	704-270100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/147; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

1

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.111. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. **Change in Entity Status** (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____ Date _____
Typed or printed name _____ Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

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Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 548 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 548 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability**Application No.**

09/892,664

Applicant(s)

SMITH ET AL.

Examiner

ANGELA A. ARMSTRONG

Art Unit

2626

- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERIT IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the response filed 2/27/09.
2. ☒ The allowed claim(s) is/are 1-3,7,9-12,16-18 and 20.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____.

/Angela A Armstrong/
Primary Examiner, Art Unit 2626

REASONS FOR ALLOWANCE

1. Claims 1-3, 7, 9-12, 16-18 and 20 are allowed.
2. The following is an examiner's statement of reasons for allowance:
3. Regarding claim 1, the prior art of record fails to specifically teach or disclose the specific combination of elements to implement a system for permitting a user to remotely access data, comprising: a systems interface to a plurality of legacy systems, the systems interface comprising a first server for managing protocol and a second server for generating legacy transactions, and a firewall that protects the first server and the second server, wherein the first server provides multiple operations that may be utilized for generating the legacy transactions; a computer operable by the user to access data from the legacy systems through the systems interface, wherein the computer is programmed with a client application for accessing the systems interface, wherein the client application provides a graphical user interface that has a page for selecting one of the multiple operations provided by the protocol server for the client application, and has a page comprising a plurality of data fields for each of the multiple operations, wherein when an operation is selected, the corresponding page is displayed, and wherein the client application is adapted to format requests for information based on user input within one of the pages corresponding to one of the multiple operations; a voice input device coupled to the computer for receiving spoken words as a voice input from a user; a speech recognition application executing within the computer the speech recognition application converting the voice input into data recognized by the client application; and a voice module operating within the speech recognition application that accesses a stored separate vocabulary for

each of the pages for selecting and performing the multiple operations utilized for generating the legacy transactions on the legacy systems, wherein selecting the multiple operations comprises utilizing the voice input for navigating to a search page and selecting an operation for retrieving client account information, wherein performing the multiple operations comprises inputting the client account information based on the spoken words as the voice input to complete at least one of the plurality of data fields comprises utilizing the voice input to navigate among the plurality of data fields.

4. Regarding claim 7, the prior art of record fails to specifically teach or disclose the specific combination of elements to implement a system for permitting a user to remotely access data, comprising: means for providing an interface to a plurality of legacy systems, wherein the means for providing an interface includes at least one firewall that protects the means for providing an interface from the legacy systems, wherein the means for providing an interface provides multiple operations that may be utilized for generating transactions with the legacy systems; a computer operable by the user to retrieve data from the legacy systems by sending user requests to the means for providing an interface, wherein the computer includes a voice input device; a communications link coupling the computer and the means for providing an interface; a client software application executing within the computer, the client software application providing a graphical user interface that includes a page for selecting one of the multiple operations provided by the means for providing an interface for the client application and has a page for each of the multiple operations~ wherein when an operation is selected the corresponding page is displayed; and a speech recognition software application for: converting spoken words provided as a voice input into a user input, the speech recognition software

application including a voice module that accesses a stored separate vocabulary for each of the pages for selecting the multiple operations utilized for generating the legacy transactions on the legacy systems, the stored vocabulary for each of the pages including a spoken Go To command and a spoken TAB command for navigating to a search page, performing the multiple operations utilized for generating the legacy transactions on the legacy systems, wherein performing the multiple operations comprises inputting the client account information based on the spoken words provided as the voice input to complete at least one of a plurality of data fields, and selecting an operation for retrieving client account information.

5. Regarding claim 12, the prior art of record fails to specifically teach or disclose the specific combination of elements to implement a system for remotely accessing legacy data from a plurality of legacy systems, comprising: a voice input device; a modem; a systems interface in communication with the plurality of legacy systems wherein the systems interface is protected from the legacy systems by a firewall, and wherein the systems interface provides multiple operations that may be utilized for generating transactions with the legacy systems; and a computer, wherein the computer includes at least one memory programmed with software for performing the following: providing a graphical user interface that has a page for selecting one of the multiple operations provided by the systems interface for the client application and has a page for each of the multiple operations wherein when an operation is selected the corresponding page is displayed, receiving a request to input by voice; activating a speech recognition module in response to the request, the speech recognition module including a speech module that accesses a stored separate vocabulary for each of the pages for selecting and performing the multiple operations utilized for generating the legacy transactions on the legacy systems, wherein

selecting the multiple operations comprises utilizing a Go To command in a speech input for navigating to a search page and selecting an operation for retrieving client account information, and wherein performing the multiple operations comprises inputting the client account information based on spoken words as a speech input to complete at least one of a plurality of data fields, wherein inputting the client account information to complete at least one of the plurality of data fields comprises utilizing a TAB command in the speech input to navigate among the plurality of data fields; converting spoken words that are the speech inputs into a user request for information by using the separate vocabulary corresponding to the page that is displayed; sending the user request for information to the systems interface; and receiving data responsive to the user request.

6. Regarding claim 16, the prior art of record fails to specifically teach or disclose the specific combination of elements to implement a method for allowing a user to access data, comprising: logging onto a systems interface to legacy systems; providing a graphical user interface that includes a page for selecting one of the multiple operations provided by the systems interface for the client application and includes a page for each of the multiple operations wherein when an operation is selected the corresponding page is displayed; activating a speech recognition module in response to the request, the speech recognition module including a voice module that accesses a stored separate vocabulary for each of the pages for selecting and performing the multiple operations utilized for generating the legacy transactions on the legacy systems, wherein selecting the multiple operations comprises utilizing a spoken Go To command in a speech input for navigating to a search page and selecting an operation for retrieving client account information, and wherein performing the multiple operations comprises inputting the

client account information based on spoken words as a voice input to complete at least one of a plurality of data fields, wherein inputting the client account information to complete at least one of the plurality of data fields comprises utilizing a TAB command in the voice input to navigate among the plurality of data fields; receiving spoken words as the voice inputs from the user; converting the voice inputs to a user request by using the separate vocabulary corresponding to the page that is displayed; sending the user request to the systems interface; and receiving data from the systems interface in response to the user request.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANGELA A. ARMSTRONG whose telephone number is (571)272-7598. The examiner can normally be reached on Monday-Thursday 11:30-8:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richemond Dorvil can be reached on 571-272-7602. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free)? If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Angela A Armstrong/
Primary Examiner, Art Unit 2626